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Number of Pages including the Cover Sheet: _____

Date: 09/19/2013

Case Number:11-01693

Case Name: Jonathan Perlman, Esq, as court-appointed Receiver of Creative Capital, et al vs. TD Ameritrade, Inc., d/b/a thinkorswim

To: Jeffrey B. Kaplan

Phone: 305-374-1920 Fax: 305-374-1961

From: Lisa Lasher *ll*
Senior Case Administrator

Message:

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VIA FACSIMILE AND MAIL

September 19, 2013

Jeffrey B. Kaplan, Esq.
Dimond Kaplan & Rothstein, P.A.
2665 South Bayshore Drive
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Miami, FL 33133

Subject: FINRA Dispute Resolution Arbitration Number 11-01693
Jonathan Perlman, Esq, as court-appointed Receiver of Creative Capital, et al vs. TD Ameritrade, Inc., d/b/a thinkorswim

Dear Mr. Kaplan:

Enclosed please find an Order issued by the Panel dated September 18, 2013.

Very truly yours,

Lisa D. Lasher / ARC

Lisa D. Lasher
Senior Case Administrator
Phone: 561-416-0277
Fax: 301-527-4868
FL-Main@finra.org

LYL:arc:LC53A
idr: 01/14/2013
Enclosure

CC:

Lawrence M. Green
George G. Kurschner
Mark C. Perry

RECIPIENTS:

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Investor protection. Market integrity.

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BEFORE FINRA DISPUTE RESOLUTION, INC.

In the Matter of Arbitration between:

A CREATIVE CAPITAL, et al,
Claimant,

FINRA-DR Case 11-01693

vs.

TD AMERITRADE, INC., et al,

Respondent.

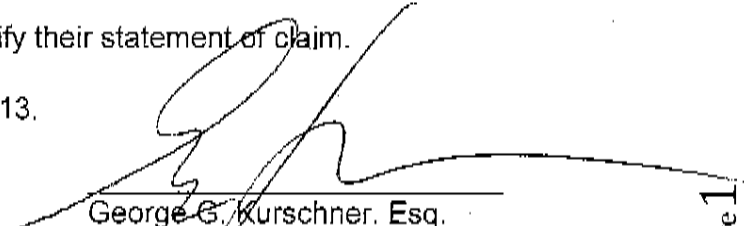
**ORDER ON CLAIMANTS' MOTION TO AMEND
(dated July 17, 2013)**

The panel, after being advised of the premises herein by a hearing on September 18, 2013 attended by Jeffery Kaplan, Esq. and Rob Lincoln, Esq. (for claimants) and Dana Gloor, Esq. (for respondent), Lawrence Green (panel), Mark Perry (panel), George Kurschner (chair), and Lisa Lasher (FINRA) hereby GRANTS claimants' motion to amend complaint WITH THE FOLLOWING PROVISIONS:

1. Claimants shall have ten (10) days from the date of this order to elect (and notify FINRA and Respondent) to amend the claim as per their motion.

2. If Claimants do elect to amend their claim, then Respondent shall be granted a postponement of the final hearing (scheduled to commence November 11, 2013) provided that they request a postponement within ten (10) days of Respondent's notification of Claimants' election to modify their statement of claim.

At Boca Raton, September 18, 2013.


George G. Kurschner, Esq.
Chairman
For the panel