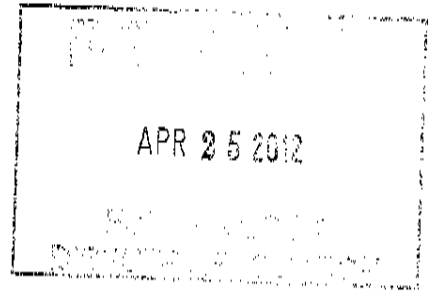


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**FINRA DISPUTE RESOLUTION
ORDER**

Case Number: 11-01693

Case Name: Creative Capital Concept\$, LLC v. TD Ameritrade, Inc.
d/b/a Thinkorswim

Issues Addressed:

1. Claimants Motion for Leave to Amend Statement of Claim and proposed First Amended Statement of Claim,
2. Memorandum of Respondent TD Ameritrade, Inc., in Opposition to Claimant's Untimely Motion for Leave to Amend its Statement of Claim,
3. Penson Financial Services, Inc.'s Opposition to Claimant's Motion for Leave to Amend its Statement of Claim, and
4. Claimant's Reply in Further Support of its Motion for Leave to Amend its Statement of Claim.

Pre-Hearing Conference Held? Yes

Date/Time: April 24, 2012, 2:30 p.m.

Participating in the conference were:

Chairperson:	Steven Goerke
Panelist:	Mark C. Perry
Panelist:	Lawrence M. Green
Claimant's Representative:	Robert Lincoln, Esq.
Respondent's Representative:	Dana Gloor, Esq.
Penson Financial Services (non-party):	Mark Hanchet, Esq.
FINRA Dispute Resolution Staff:	Lisa Lasher

Decided by Panel.

Ruling:

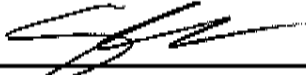
After considering the pleadings submitted by the parties, the opposition of Penson Financial Services, and oral arguments, the Panel rules as follows:

1. The Claimant's Motion for Leave to Amend Statement of Claim is granted and the Claimant's First Amended Statement of Claim is deemed filed as of this date;
2. The final hearing previously scheduled to commence on June 4, 2012 is continued; and

3. FINRA Dispute Resolution is to schedule a new prehearing scheduling conference with all parties including the added respondent.

If the parties settle this matter prior to the hearing, the forum fees for this pre-hearing conference are assessed as follows:

100% to Claimant.



Chairperson
On Behalf of Panel

April 24, 2012